United Nations Development Programme

UNDP

Country: Islamic Republic of Iran
Initiation Plan

Project Title: Support for Early Recovery from floods in Iran Project
Expected UNDAF/CP Outcome(s): CPD OUTCOME 4: Relevant government agencies formulate, implement and monitor natural disaster management policies and programmes more effectively.
Expected CPD Output(s): CPD Output 4.2: Options and mechanisms for effectively enhancing community resilience to natural disasters are developed and considered for adoption / implementation by the Islamic Republic of Iran.
Initiation Plan Start/End Dates: 1 April 2019 – 27 May 2020
Implementing Partner: UNDP (DIM)

Brief Description

The heavy rains between March and April 2019 caused massive floods in Iran affecting 25 of the 31 provinces and 10 million people with 2million needing assistance. It led to 80 deaths, 1137 injured, and 365,000 in emergency shelters. Golestan, Khuzestan, and Lorestan provinces are most heavily hit. The destruction of infrastructure, private and public property is extensive: about 65,000 houses destroyed and over 114,000 damaged; about 14,000 kilometres of roads, 700 bridges and around 1 million hectares of farmland affected; water supply and sewer systems affected; health facilities and schools damaged. This project is developed to provide assistance to the Government to conduct needs assessment, develop recovery strategy and plans, design community-based solutions to recovery, develop livelihood and economic recovery programmes with the Government, and develop Disaster Risk Reduction and Management programme for the long-term institutional capacity building in this area. This revision has incorporated additional funding from the EDRCR funding window of $200k and it reflects the new activities to be funded by EDRCR as well as some changes to the original initiation plan prepared to implement activities from TRAC 1.1.3.

Programme Period:	CPD 2017-2021
Atlas Project Number:	00118601
Atlas Output ID:	_____
Gender Marker:	__GEN1____

Total resources required	USD300,000
Total allocated resources:	USD300,000
• Regular	
o TRAC 1.1.3	USD 100,000
o EDRCR funding window:	USD 200,000
• Other:	
o Donor	_____
o Government	_____
Unfunded budget:	_____
In-kind Contributions	_____

Agreed by UNDP: Claudio Providas, UNDP Resident Representative

Date: 20.06.2019

areas of Disaster Risk Reduction, systems development, and institutional strengthening in collaboration with relevant government agencies such as the NDMO and PBO. The UN prepared and launched a twenty-five-million-dollar Operational Response Plan on 30 April in the presence of the Minister of Interior and more than 40 ambassadors. In this plan, UNDP leads the Early Recovery and Livelihoods area, and is also to contribute to the early recovery aspects of WASH and Shelter areas. The total cost estimated for UNDP's components is USD 6.05 million. UNDP will develop programmes in these areas and make efforts for resource mobilization through potential donors including the Government of Iran.

Based on the flash reports and field visits that the UN (UNDP included) conducted, the need to support in the areas of livelihood, rehabilitation of agricultural land, and reconstruction of facilities that provide basic services is urgent. UNDP along with other UN agencies have also received a request from the Ministry of Cooperative, Labour and Social Welfare to support in a number of areas including livelihoods recovery and capacity development of government institutions. In response to the needs identified by the PDNA, other analyses and assessments, and the Government's request, UNDP will design economic recovery interventions in the affected areas taking inspiration from UNDP's livelihood recovery framework, global good practices, enterprise recovery toolkit, and principles of building back better. UNDP will actively seek partnerships with donors and the Government of Iran to resource livelihood and economic recovery interventions focusing on the affected provinces. These interventions will build on the work that UNDP is already undertaking with partner NGOs through community mobilization and participatory needs assessment on a pilot basis in four villages funded through TRAC 1.1.3.

UNDP has drawn from its previous experience in Iran with early recovery and Disaster Risk Management project with the Government. Based on this experience and request made by the Government, UNDP proposes the following interventions to support the Government of Iran in their efforts in post-disaster recovery:

Output 1: Needs assessment in 3 affected provinces conducted, recovery plans developed, and a model for participatory community-driven recovery solutions developed and shared with local authorities.

- **Activity 1.1: UNDP will support the post-disaster needs assessment (PDNA) in the PBO's leadership and UN RC's coordination and in collaboration with other UN agencies.** It will also lead a few sectors in the PDNA and will support the PBO to coordinate the PDNA and produce the final report along with a recovery strategy. As per the Government's decision, PDNA will cover three provinces Golestan, Lorestan and Khozesthan and cover several sectors including gender and governance as cross cutting sectors. UNDP will provide training on PDNA to the sector leads and participants, a PDNA coordinator to consolidate the process and the final PDNA report along with the recovery strategy, and several sectoral experts to lead or contribute to the sector analysis. It will also provide other logistical support including providing interpreters during PDNA training, translating the data, analysis, and preparing the report in both English and Farsi.

The CO has already partnered with a research institute for the needs assessment work. The earlier plan was to use this institute to conduct a multi-sector needs assessment as the Government was not planning on doing a PDNA. However, as the Government has now requested UNDP and the UN to support in the PDNA process, the work of this institute will be aligned with the PDNA by mobilizing its experts into the various sectors that UNDP will be

engaged in. Hence this revision is made in this output to reflect the shift from a multi-sectoral needs analysis focusing on specific sectors to contributing to the PDNA. Funding for parts of the PDNA will be covered by both TRAC 1.1.3 and EDRCR funding window.

- **Activity 1.2: Provide assistance and support to the national and provincial Governments in recovery planning, budgeting, monitoring and reporting.** UNDP will support the three provinces mentioned above to develop early recovery plans informed by the findings and recommendations of the PDNA. It will provide technical assistance in prioritization, budgeting, setting up implementation mechanisms, and developing monitoring and reporting tools.

- **Activity 1.3: Provide support to Non-Governmental Organizations (NGOs) or community-based organizations (CBOs) for identifying community-driven solutions for recovery that could be funded by the Government for implementation.** UNDP will support community mobilization and community driven needs assessment in order to promote a participatory approach to identifying quick impact recovery solutions relevant to the community in each of the three Provinces to help the local authorities kick start the local response process. One NGO or CBO will be supported in each of the three provinces to work closely with the affected communities and local authorities to facilitate and support community mobilization and community driven needs assessment and identification of solutions. Four villages in three affected provinces will be selected along with three local NGOs for a participatory needs assessment and come up with a recovery strategy in pilot villages. This process will be participatory, community-driven and community-owned so that when the solutions are implemented by the local authorities, they will demonstrate the quick impact of the community mobilization and locally grown solutions to begin early recovery response by the local governments.

- **Activity1.4: Early recovery support effectively coordinated in 3 provinces**: UNDP will provide technical support and additional human resources required by the Ministry/NDMO to carry out effective and coordinated response and early recovery support in affected provinces of Golestan, , Lorestan and Khozesthan. Resource persons will be based in the most affected areas, helping the local authorities, depending on identified gaps. This will also help UNDP to identify substantial gaps on the ground, which could lead to longer term programming interventions for recovery.

- **Activity 1.5: Management, coordination, liaising, monitoring and reporting:** UNDP will hire one additional human resource in the CO to manage and oversee this early recovery support to the NDMO and provinces for 6 months and travel from BRH or NY and monitoring of the activities in the provinces will be carried out through field visits, meetings with the NGOs, government partners, etc.

Output 2: Design and implement livelihood and economic recovery interventions for the flood affected communities as well as design new programmatic responses to enhance community resilience and institutional capacities of relevant national entities in disaster risk management.
- Activity 2.1: Design and implement Quick Impact Projects for livelihood and economic recovery interventions targeting the most affected provinces in partnership with relevant government entities. Based on the request from the Ministry of Cooperative, Labour and

Social Welfare (MCLSW), UNDP CO will develop an intervention to design and deliver "quick impact projects" (QIPs) in the most affected communities to be delivered with government cost-sharing. Based on the findings of the PDNA and other needs assessments. **UNDP will also explore rehabilitating small and micro enterprises (SMEs) that were affected by the floods and also creating new SMEs from potential entrepreneurs.** UNDP will apply a comprehensive ecosystem approach to developing SMEs by partnering with the various stakeholders in the ecosystem (e.g. financial institutions, business development service providers, training institutes, technology providers, and markets).

- **Activity 2.2: New Quick Impact Projects (QIPs) for the flood affected communities:** UNDP will explore the possibility of focusing on QIPs on community infrastructure rehabilitation that can generate employment opportunities for the affected population through cash for work programmes.

- **Activity 2.3: UNDP support PDNA process for documenting result and lessons learnt from the process and quick actions as well as developing a new initiative on enhancing the capacities of relevant national and sub-national government institutions on Disaster Risk Reduction and Disaster Risk Management (DRR/DRM).**
  Findings from the Participatory Needs Assessment (PDNA) that is also being undertaken in Iran in the post-flood context along with consultation with key stakeholders will be drawn upon to identify capacity gaps. Taking advantage of the opportunities that this post-flood context offers, UNDP will advocate for national DRR/DRM strategy and the need to mainstream disaster and climate risk reduction in the planning and budgeting processes. It will explore the possibilities of supporting the PBO and NDMO to design a national disaster risk reduction and risk management (DRR/DRM) strategy and programme that would focus on strengthening policy frameworks, systems approach to DRR/DRM, and institutional capacity development of all actors in the ecosystem from national to the local level including external actors, such as research institutions.

Identified risks and assumptions

Key risks that can affect the implementation of the above activities are
1. Accessibility to the affected areas.
2. Funds from donors or Government sources not available or insufficient to implement livelihood recovery initiatives. In such a case, the new initiative to be developed on livelihood recovery may not be implemented.
3. Government will stay committed to enhance capacities in Disaster Risk Reduction and Disaster Risk Management at national and provincial levels along with building the resilience capacity of the communities so that it will invest in such an initiative that UNDP would support to develop.

II.   MANAGEMENT ARRANGEMENTS

This Initiation Plan Project will be implemented under a Direct Implementation Modality (DIM). The intervention will be managed by the Resident Representative of UNDP in coordination with PBO and NDMO. Additional national entities such as the Ministry of Cooperative, Labour and Social Welfare will also be UNDP's collaborative partners especially for output 2. Funding for all outputs will be directly managed by UNDP under Direct Implementation modality (DIM).

Quality assurance and monitoring of the outputs will be the responsibility of UNDP led by the UNDP Resident Representative and his/her designated staff, with support from a designated Programme Analyst.

UNDP will collaborate and coordinate with the PBO, NDMO, several sectoral Ministries and the Ministry of Cooperative, Labour and Social Welfare at the national level and provincial/local authorities to design and implement the activities mentioned in this document. It will also coordinate and collaborate with UN RC, UN agencies and donors.

III. MONITORING

The following monitoring mechanisms will be in place for this Initiation Plan implementation:

- UNDP will conduct field visits to monitor the activities in the three provinces and produce field visit reports.
- The partner NGOs will be required to submit progress reports on a bimonthly basis to UNDP.
- UNDP will convene quarterly meetings with PBO and NDMO and other relevant national entities to present the progress made and share the priorities for the next period.
- An Initiation Phase Report will be prepared at the end of the Initiation Plan using the standard format available in the Executive Snapshot.

IV.   WORK PLAN

Period[1]:

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME[2]						RESPONSIBLE PARTY	PLANNED BUDGET		
And baseline, indicators including annual targets	*List activity results and associated actions*	Q1	Q2	Q3	Q4	Q5	Q6		Funding Source	Budget Description	Amount
Output 1: Needs assessment in 3 affected provinces conducted, recovery plans developed, and a model for participatory community-driven recovery solutions developed and shared with local authorities.	1. Activity Result 1.1: Post-disaster needs assessment (PDNA) completed • Activity action 1.1.1: PDNA conducted and report finalized (May-July 2019)—consultations held, consultants hired, PDNA training conducted, data collected, field visits, international missions from BRH and/or HQ, translations, publications, logistics.	✓	✓					UNDP	UNDP	72100 71300 71400 71600	20,000 (TRAC 1.1.3)

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[1] Maximum 18 months

[2] For this initiation plan project Q1 starts in April 2019 when the ASL for TRAC 1.1.3 was issued. Hence, Q1=April-June; Q2=July-Sept; Q3=Oct-Dec 2019; Q4=Jan-March 2020; Q5=April-June 2020. TRAC 1.1.3 funds (USD 100,000 ASL is valid until the end of September 2019; and the ASL for EDRCR (i.e. USD200,000) is valid until May 2020. Hence this work plan goes only up to Q5 as the ASL for EDRCR is valid only up to May 2020.

Indicators: • # of PDNA report • # of Provincial Recovery plans • # of community-based solutions identified and shared with local authorities *Baseline:* No PDNA, Recovery strategy, recovery plans, and community-identified solutions. *Targets:* • 1 PDNA report • 1 Recovery Strategy • 3 Recovery Plans in 3 provinces	2. Activity Result 1.2: National and provincial (3) recovery plans produced. • Activity Action 1.2.1: Organize workshops to share the recommendations and outcomes of PDNA, that would inform setting up of strategic recovery priorities (workshop venue, logistics, travel costs—3 workshops, one in each province, and 1 national workshop) to develop the recovery strategy and recovery plans • Activity action 1.2.2: Support in developing assessment-informed early recovery plans in the 3 provinces, implementation mechanisms, and monitoring tools. (consultations, logistics)			✓	✓						UNDP				14,000 (TRAC 1.1.3)

Expected Outputs	Planned Activities									Responsible party	Planned Budget
• 3 Reports listing the process and community-based solutions identified and shared with local authorities in 3 provinces	3. Activity Result 1.3: Community-based recovery solutions identified through community mobilization and participatory approaches and shared with local authorities for implementation.									UNDP	44,000 (TRAC 1.1.3)
	• Activity Action 1.3.1: NGOs/CBOs conduct community mobilization to support the affected areas with community-based solutions for early recovery.				✓						
Related CP outcome:	• Activity Action 1.3.2: NGOs/CBOs support the communities and local authorities to kick start the solutions and report on them.			✓							
	4. Activity Result 1.4: Early recovery support effectively coordinated in 3 provinces									UNDP	5,500 (TRAC 1.1.3)
	• Activity Action 1.4.1: Provide technical support for coordination of early recovery plans, budgets, and implementation of early recovery plans at the provincial level. (local consultants 4 months in 3 provinces)			✓	✓						

5. Activity Result 1.5: Management, coordination, liaising, monitoring and reporting support in the CO for UNDP's early recovery inputs. • Activity Action 1.5.1: One additional human resource will be hired in the CO to manage and oversee this early recovery support to the NDMO and provinces for 6 months • Activity 1.5.2: Travel from BRH or NY and monitoring of the activities in the provinces will be carried out through field visits, meetings with the NGOs, government partners, etc.			✓	✓								UNDP		12,000 (TRAC 1.1.3)

Output 2: Design and implement livelihood and economic recovery interventions for the flood affected communities and design new programmatic responses to enhance community resilience and institutional capacities of relevant national entities in disaster risk management.	Activity Result 2.1: Design and implement livelihood and economic recovery interventions targeting the most affected provinces in partnership with relevant government entities with focus on women and most vulnerable groups.										UNDP					72100 71300 71600	75,000 5000 5000
	• Activity Action 2.1.1: Needs identified to rehabilitate the damaged SMEs and support provided to revive them. (June-Aug)	✓		✓		✓		✓									(EDRCR)
	• Activity Action 2.1.2: Potential entrepreneurs identified, and support provided to help them become entrepreneurs. (Sept2019-May 2020)																

Indicators:	Activity Result 2.2.: New Quick Impact Projects (QIPs) designed targeting the flood affected communities (June-Aug).			✓				72100	50,000
- New programme developed on livelihood recovery								71300	7000
- New programme developed on DRR/DRM	Activity Action 2.2.1: Implementation mechanisms and partnerships established to implement community infrastructure rehabilitation (Aug-Sept 2019)		✓					74500	3000
Baseline: No such progrmmes									(EDRCR)
Targets:	Activity Action 2.2.2: Community infrastructure rehabilitation implemented. (Oct 2019-May 2020)	✓							
- 1 livelihood programme developed									
- 2 DRR/DRM programme developed		✓							

Activity	Party	Account	Amount	Fund
Activity Result 2.3: Support PDNA process for documenting result and lessons learnt from the process and quick actions as well as developing a new initiative on enhancing the capacities of relevant national and sub-national government institutions on Disaster Risk Reduction and Disaster Risk Management (DRR/DRM). • Activity Action 2.3.1: Consultations held with relevant stakeholders to document results and lessons learnt to develop and finalize a new project document on DRR/DRM	UNDP	71600 72100 71300	7,000 24,000 13,500	(EDRCR)
Monitoring of activities	UNDP	71300	3,000	(EDRCR)
Support from CO for project implementation	DPC UNDP	64300 74500 64300 74500	3,150 1,350 5,250 2,250	(TRAC 1.1.3) (EDRCR)
TOTAL			300,000	